



**MINISTRY OF FOREIGN AFFAIRS
OF THE RUSSIAN FEDERATION**

EXPORT CONTROL SYSTEM in RUSSIA:

DUAL-USE LICENSING

26th ASIAN EXPORT CONTROL SEMINAR

Tokyo, 26-28 February 2019

INTRODUCTION

- Overview of the Russian export control system
 - Key elements
 - Legal basis
 - Structure
 - Control lists
- Licensing
 - Types of licenses
 - Licensing procedures
 - Special conditions
- Conclusions

Russian export control system

KEY ELEMENTS

- Laws and Regulations
- Control lists
- Catch-all
- Licensing
- Enforcement
- Interagency cooperation
- Outreach to industry and academia

Russian export control system

LEGAL BASIS

FEDERAL LAW
On Export Control
(1999)

DUAL-USE GOODS, CATCH-ALL

FEDERAL LAW
On Technical and Military
Cooperation with Foreign States
(1998)

MILITARY GOODS

Presidential Decrees (Control Lists)

Government Regulations

Sub-laws

Russian export control system

LEGAL BASIS

NUCLEAR NON-
PROLIFERATION
TREATY

BIOLOGICAL
WEAPONS
CONVENTION

CHEMICAL
WEAPONS
CONVENTION

UNSCR 1540

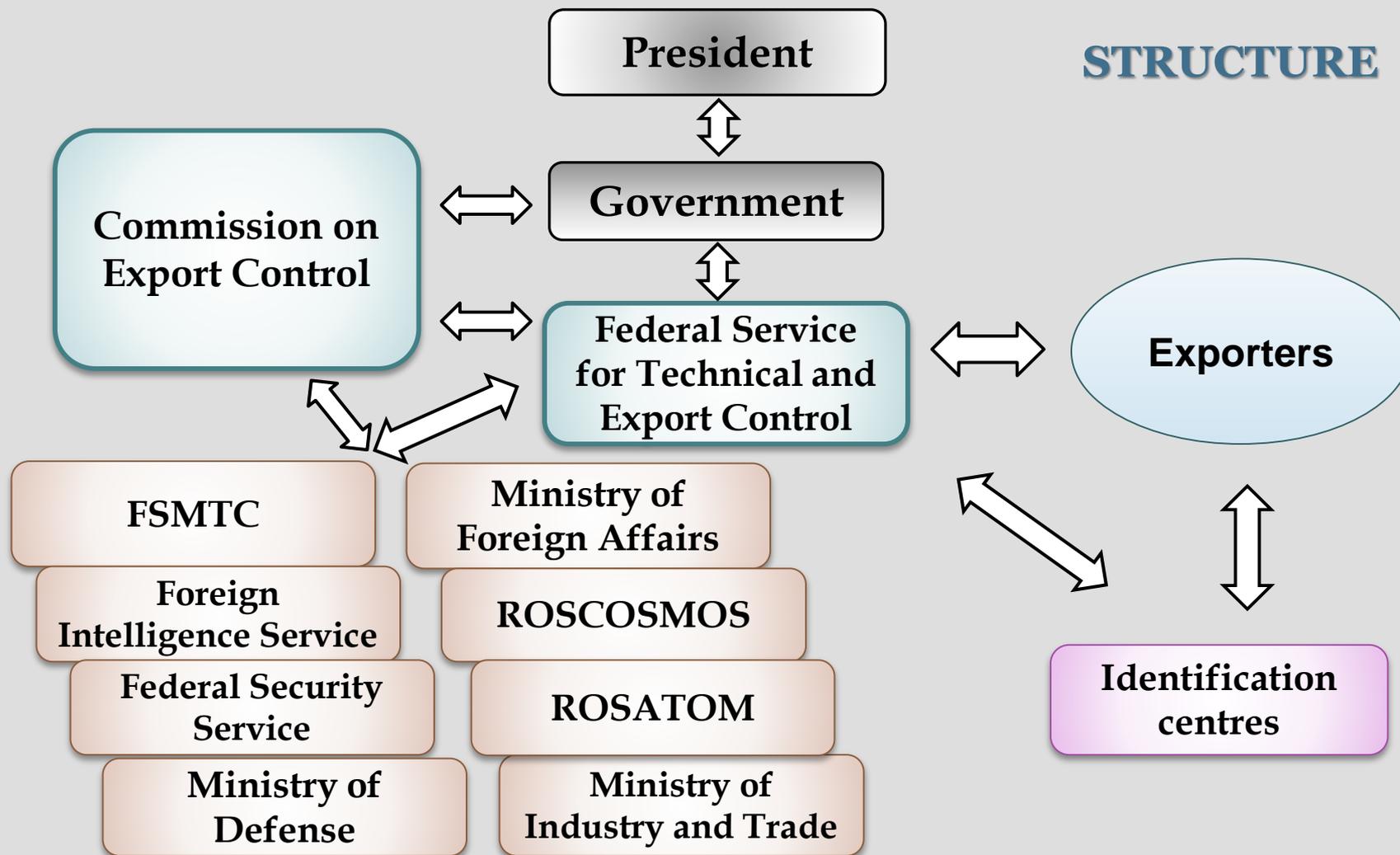
Multilateral export control regimes

Nuclear Suppliers
Group/Zangger
Committee

Missile
Technology
Control Regime

Wassenaar
Arrangement

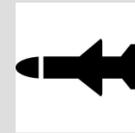
Russian export control system



Russian export control system

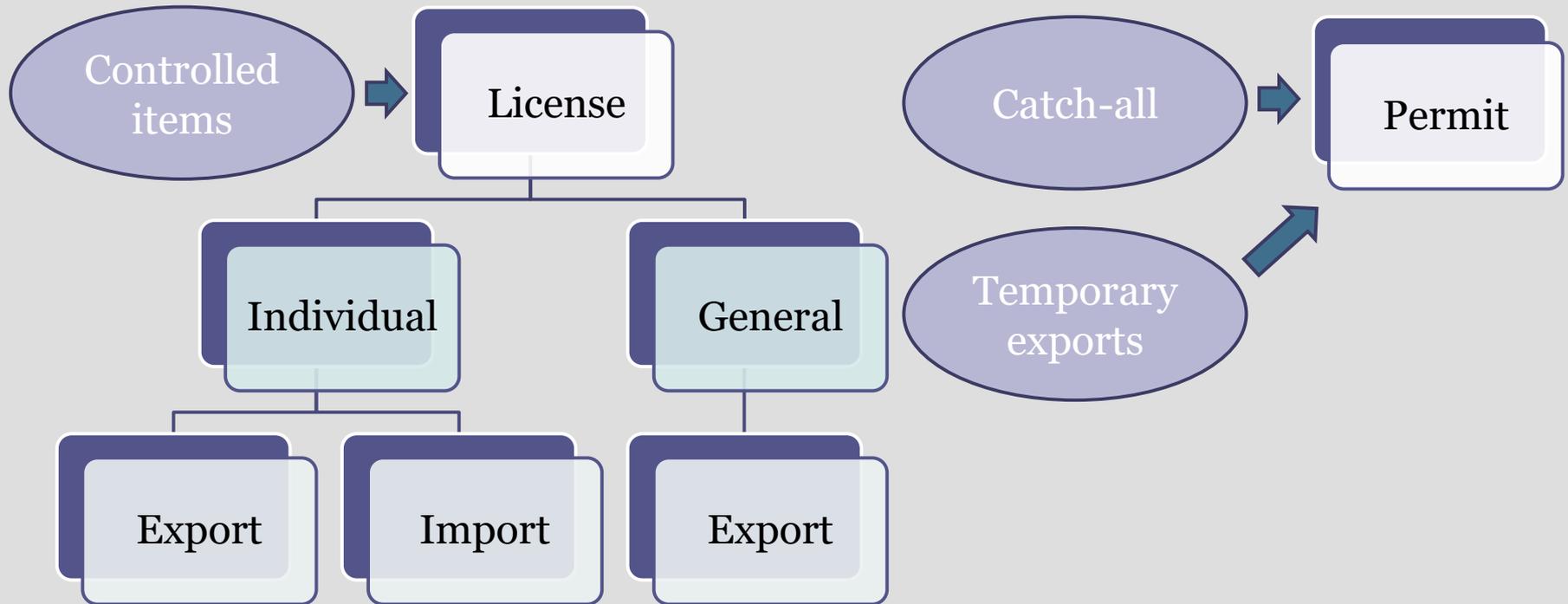
CONTROL LISTS

- Nuclear (*NSG Part I*)
 - Nuclear-related dual-use (*NSG Part II*)
 - Missile (*MTCR*)
 - Chemical
 - Biological
 - Conventional arms-related dual-use (*WA*)
- Military items are controlled separately



LICENSING

TYPES OF LICENSES



LICENSING

TYPES OF LICENSES

Individual

- Specific goods/technology
- Specific amount of goods/technology
- Specific country
- **Specific end-user/customer**



FSTEC

General

- Specific type of goods/technology
 - *Exceptions:*
 - 1.A.7 & 1.A.8 items
 - Certain explosives
 - 1.E. technology
- Specific amount of goods/technology
- Specific countries
- **NO specific end-user/customer**



GOVERNMENT

Exporter must be a legal person and have a certified ICP

LICENSING

IDENTIFICATION OF GOODS

= Responsibility of the exporter!

EXPORTER/
PRODUCER

Identification Centre

FSTEC

- ICP

- Export control specialist

- Certification required

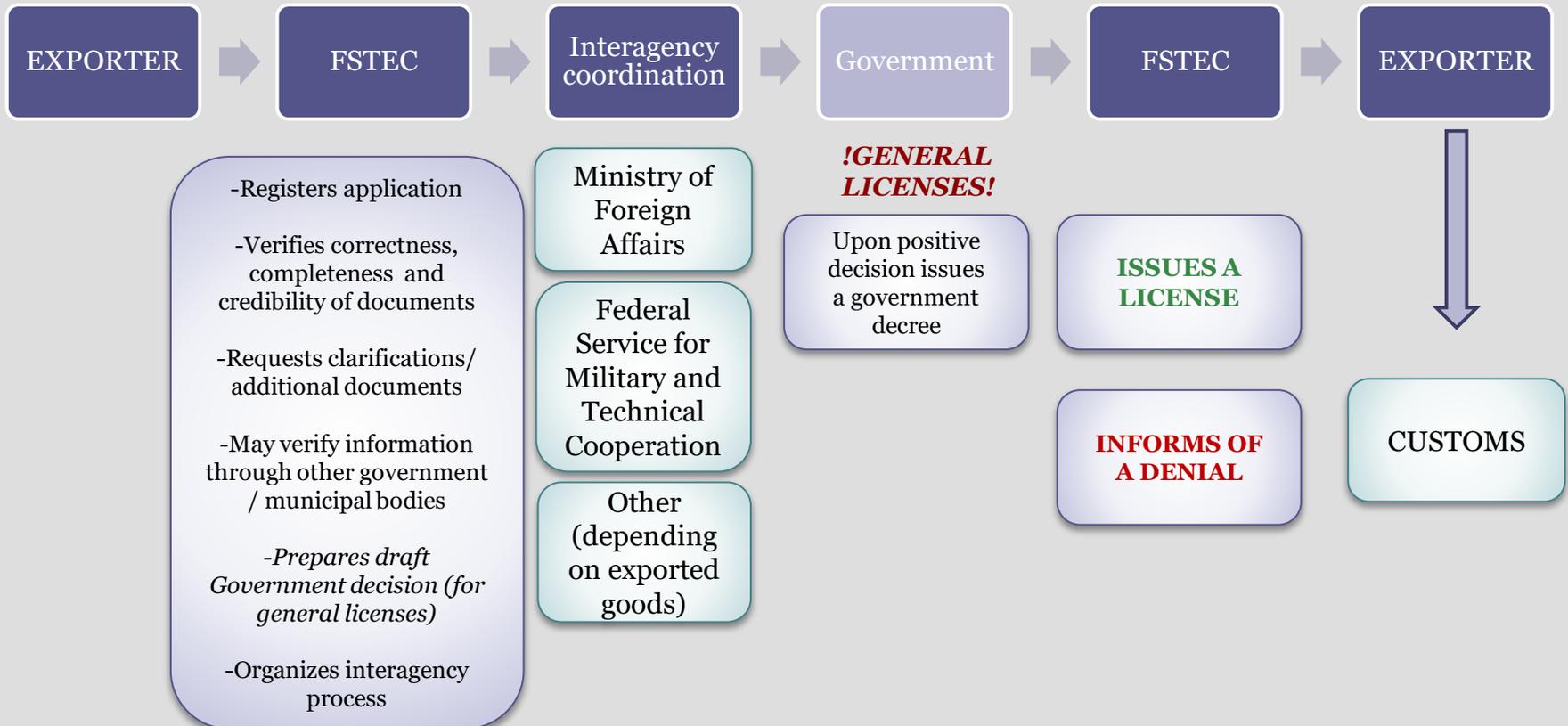
LICENSING

DOCUMENTS REQUIRED

- License application / request for a general license
- Exporter information
 - Full name, address, registration information, taxpayer identification number
- Information on the goods to be exported
 - Name/model, technical characteristics, quantity, control list #, HS Code, full name and location of the producer
- Country (*for individual licenses*) or List of countries (*for general licenses*) for intended export
- Copy of contract (*for individual licenses*)
- End-user obligation/certificate (*for individual licenses*)
- Obligation not to copy, modify, re-export or transfer to anyone without written consent of the Russian exporter agreed with FSTEC
- In certain cases: international import certificate or similar

LICENSING

PROCEDURES



LICENSING

SPECIAL CONDITIONS

Special conditions may apply when license is issued

- Delivery confirmation certificate required
- Possibility of post-shipment verification
- Etc.

LICENSING

TRENDS

**ENCOURAGE EXPORTS
WITHOUT
COMPROMISING
EXPORT CONTROL
OBJECTIVES**

- E-Licensing
 - Easier submission
 - Faster processing
- Reducing time limits for license processing
- “Single window” for exporters
- Non-licensed export
 - Less sensitive goods (not listed, but with similar parameters)
 - Trusted destinations

CONCLUSIONS

RUSSIAN EXPORT CONTROL SYSTEM IS:

- Up to date with the highest standards
- Constantly evolving to take into account:
 - National implementation experience
 - Enforcement experience
 - Technology development
 - Trends in proliferation, new threats
 - International best practices
 - Etc.

THANK YOU!